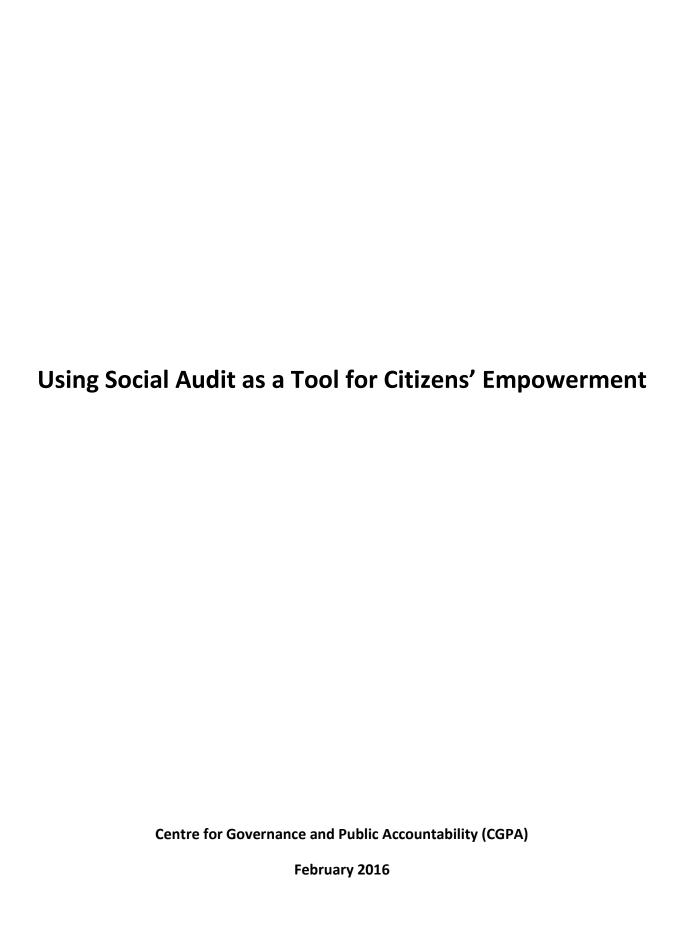




Using Social Audit as a Tool for Citizens' Empowerment



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# **Abbreviations**

| ADP  | Annual Development Program                      |
|------|---|
| BHU  | Basic Health Unit                               |
| CGPA | Center for Governance and Public Accountability |
| CSA  | Community Social Audit                          |
| DCE  | Detailed Cost Estimate                          |
| DEO  | District Education Officer                      |
| GGMS | Government Girls Middle School                  |
| GGPS | Government Girls Primary School                 |
| MPA  | Member Provincial Assembly                      |
| KP   | Khyber Pakhtunkhwa                              |
| LG   | Local Government                                |
| LHV  | Leady Health Visitor                            |
| PC   | Planning Commission                             |
| PHED | Public Health and Engineering Department        |
| PPHI | Peoples Primary Healthcare Initiative           |
| PTC  | Parent Teacher Council                          |
| RTI  | Right to Information                            |
| RTS  | Right to Services                               |
| XEN  | Executive Engineer                              |

#### **Premise**

This paper is part of a project by Centre for Governance and Public Accountability (CGPA) funded under the Response Fund (RF), a window for small grants for innovative projects managed AAWAZ, a project financed by UKAID to support Voice and Accountability, with a special focus on women and minorities.

The focus of the RF funded project is Right to Information and Public Accountability. The activities of the project have been designed to focus on operationalizing the RTI legislation passed by the KP assembly and pilot the institutionalization of a community managed social audit process.

The introduction of community social audit came as a response to the perceived failure of governments to anticipate or tackle common issues of concern to people. As the examples, elaborate elsewhere in the report, illustrate these social audits called for policy actions and changes or interventions by government to rectify specific mistakes.

The RTI law provided the lynchpin to the community social audit. Hence as the RTI law was supported for operationalization, its efficacy was also tested on the ground by making specific requests for information. In some cases, the failure to obtain the information was publicized by using newspapers or electronic media.

#### **Background**

Development processes in Pakistan are often perceived as opaque. The citizens for whom the development projects are initiated often don't know how these projects are conceived, planned and implemented. Therefore, citizens are often not aware about how their tax money is being utilized.

When citizens can hold those who set policy and implement intervention, i.e legislators and public officials, to account, it not only creates an enabling environment to set in motion a process of democratization going down to the end user.

In Khyber Pakhtunkhwa (KP) province the incumbent government is a coaliation which came in power through 2013 general elections. The manifesto of the majority party in the coalition promised justice, accountability, transparency, decentralization and devolution.

To follow the manifesto, KP government is following good governance legislative framework. The legislative framework in KP has produced laws of a) Right to Information Act 2013 b) Right to Public Services Act 2014 c) Local Government Act 2013 d) Ehtisab Commission Act 2014. While whistleblowers protection bill and Prevention of Conflict of Interest bill are in pipelines.

The reform agenda of the incumbent government has the potential for bridging the state citizen divide through introducing and ensuring accountability, quick service delivery, awareness about rights and bringing transparency in policy planning and budgetary processes.

However, the laws cannot deliver the desired outcome unless and until they are made a part of the social consciousness, brought into the social discourse and are used by citizens for holding the people in authority responsible.

In the given backdrop the social audit project aimed to raise awareness and demonstrate the effectiveness of these laws for public service delivery in Education, Health and Water and Sanitation sectors.

This document presents the experiences and lessons learnt in the Community Social Audit (CSA) for various development projects in five districts of Khyber Pakhtunkhwa (KP) province of Pakistan. It was the very first kind of effort to synergies the service delivery mechanism with citizen feedback and scrutiny, which was possible with the partnership of AAWAZ and Center for Governance and Public Accountability (CGPA).

# **Using Social Audit Tool for Community Empowerment**

The term Social Audit can be traced back to 1950's, when it as used for measuring and reporting the social benefits of business and public sector interventions. Over the years it has been emerged as a key accountability tool for improving governance.

Social audit can be used as a way of measuring, understanding, reporting and ultimately improving the performance of government departments in social service delivery. Social audit can have an impact on governance as it values the voice of the stakeholders and measures social performance in order to achieve improvement as well as to report accurately on what has been done and what has been benefited. Social audit can be done at any stage of the planning and implementation stages and can also be done after the completion of a scheme/project.

In India, Andra Pradesh was the first state to pilot social audit and achieved good results. The Andhra Pradesh model is undoubtedly a success, with more than 3,200 social audits and more than 38,000 disciplinary cases brought against officials involved with the jobs scheme. Hundreds of government employees have been suspended or punished. Millions of rupees that were robbed off by various officials were recovered.

#### **Box 1: Citizen Centric Social Audit:**

Mazdoor Kisaan Shakti Sangathan (MKSS) is an organization of rural people that has become well known in India for its use of public hearings as an aid to accountability. Based in

MKSS has pioneered a novel struggle by providing groups of rural poor to access information from government on schemes and benefits that they are entitled to. It has held "public hearings" that have encouraged ordinary citizens to speak out about abuses in public works and schemes from which they are supposed to benefit. These hearings have exposed the ways in which public officials have siphoned off large amounts of funds from public works budgets. MKSS's struggle to access information from public offices on these matters led its leadership to take up the matter with the Chief Minister. The first victory for the movement was the government notification, under the Panchayats Act that the records of all panchayat expenditure could be inspected by the people. Subsequently, the movement won the right to photocopy the records. Rajasthan passed the Right to Information Act in 2000, a development that was influenced greatly by the pressure of MKSS. There have, of course, been problems with the new Act and its provisions. But it does show the influence that a people's movement can bring to bear on a reluctant government to take steps to be more transparent and accountable in its transactions with the people. MKSS has taken its struggle to several districts of Rajasthan and works with similar groups in other states on right to

Scouring the literature on Social Accountability, two broad categories emerge, one whereby public voice is considered to evaluate policy implementation by policy makersUsually the main tool in this category are survey based. (see box 2)

In the other category, social Accountability tools are also designed for citizens to participate in the actual process of evaluation. The main tool in this category is civic engagement based. (see box 1)

#### **Box 2: Policy Centric Social Audit**

In Pakistan, Community Information and Epidemiological Technologies (CIET) International first realized social audit concept in 2001. A pilot baseline study in 10 districts gathered views of over 10,000 households on devolved public services and local governance, following the enactment of the Local Government Plan in 2001. The services surveyed were: health, education, water, judiciary and police services, and local government services. CIET Pakistan undertook this work together with the National Reconstruction Bureau, a government agency and the main architect of devolution. The results of this baseline survey were released to the public.

The next section of the report elaborates the community social audit undertaken by CGPA, rooted in civic engagement and participation. The process has an explicit aim of initiating a process of empowerment by enabling access to information and the ability to hold the government to account for a selected number of services provision.

# CGPA and AAWAZ intervention to pilot social audit project in Khyber Pakhtunkhwa province of Pakistan

In January 2015, AAWAZ and Center for Governance and Public Accountability (CGPA) partnership is the first pilot project to exercise social audit approach in Khyber Pakhtunkhwa. AAWAZ is a five year (2012-17) program funded by UKAID through the Department for International Development (DFID) and is managed through Development International Inc. (DAI). AAWAZ – Voice and Accountability Programme, strives to strengthen stable, inclusive and tolerant democracy in Pakistan. CGPA has a strong presence in Khyber Pakhtunkhwa working on the policy reforms, good governance and research with a strong articulation with the communities.

# The Project:

In this particular project the principles of social audit: accountability, transparency, disclosure of information, multi-level and multi-stakeholders perspective, verification of information and

participation of stakeholders were followed for auditing education, health and water and sanitation schemes in Peshawar, Mardan, Charsadda, Swabi and Nowshera districts of Khyber Pakhtunkhwa province of Pakistan. The data about the schemes was collected by the citizens using the Right to Information Law.

#### Rational:

One of the problems that citizens face in addressing service-related issues is their lack of knowledge and information on social service delivery mechanisms. They end up protesting and writing to the press on an anecdotal basis that may solve some individual problems but do not solve the systemic problems in service provision. This project was initiated with the aim of strengthening citizen's voice for demanding timely and quality service delivery. To serve the stated purpose it was considered important to analyze the issues in implementation of development schemes at different levels right starting from allocation, tendering, contraction, implementation and completion stages. Government offices has a trend to keep in secret the development scheme process and documents for which KP right to information act, 2013 can play a vital role to collect, analyze and account the entitlements and development and social rights.

# **Objective of the Project**

The project had following key objectives:

- Analysis of Annual Development Budget (ADP) for highlighting issues in allocation and utilization of development budgets at provincial level in five focused districts (Charsadda, Mardan, Nowshera, Peshawar and Swabi districts).
- Strengthen citizen's voice (emphasis on women and marginalized groups) for improvement in social delivery through social audit of 15 development schemes in health, education, water and sanitation (03 in each of the focused districts).
- Enabling citizens (emphasis on women and marginalized groups) to access information held by public bodies under KP Right to Information Act 2013, and claims their entitlements through help desk in the last 9 months of the projects.

Analyses of KP Annual Development Program for the last five years provide the base to the social accountability processes, by which the spread and division of public money can be accounted. Through the research study the expenditures in the health, education and water and sanitation sector in the targeted districts will be gauged in comparison to policy framework. Moreover it can provide a guidance tool to policy makers and departments for the efficient and effective allocation.

The community social audit requires access to budget related and other key information held by public bodies. Khyber Pakhtunkhwa Right to information Act 2013 is a helpful tool to access such information. The gathered information will be brought under public discussion and will account the policy makers and line departments who are responsible for the state services delivery.

The help desk in KP RTI commission will provide a citizen's platform which will help and guide the citizens on the use of the KP right to information act, as how to; file an information request, launch a complaint, redressal time, PIOs information etc.

## The Process:

#### **Using Community Social Audit tool kit:**

Community Social Audit was chosen as an analysis tool, given its nature and scope, for achieving the stated objectives. Diagram below provides an overview of the process:

#### Step-I **Preparatory Activities**

- Develop a contextual understanding of Social Audit
- •Identify districts for Social Audit implementation
- Introductory meetings with community
- •Identify knowledge gaps of stakeholders regarding public service delivery
- •Identify Activists through introductory meetings who will conducted and introduce the concept and objectives of social audit

#### Step-II **Social Audit Scope** Definition

- Public service delivery mechanism
- Elaborate social audit concept

## Step-III Community **Awareness and Training On Social** Audit

- Discussion on social audit approach (KP RTI Law 2013, KP RTS Law 2014, Women Ownership Act 2013, Local Government Act 2013)
- Public service delivery mechanism
- Public procurement
- Execution of a development scheme
- Social Mapping
- Social and technical indicators of development schemes

## Step- IV **Data Collection and Analysis**

- •Community facilitation for approaching RTI act and role of RTI Commission
- Data collection (Procurement documents) by the community
- Take stock of the issues faced by the community in using RTI
- Comparative analysis of received information with service delivery

#### Step-V **Public Disclosure**

- Meet community to discuss findings and enlist their demands
- Meet public authorities to discuss finding and community demands
- Arrange public hearing of service providers and users
- •Institutionalization of the process

## Step-I:

#### **Preparatory Activities**

Stakeholders can do social audit at any stage of the service delivery project/scheme. In the project, social audit process was contextualized via capacity building of community to use RTI law and demand and access information related to education, health and water and sanitation schemes. Five districts: Charsadda, Mardan, Nowshera, Peshawar and Swabi districts were chosen on the basis of CGPA presence and community network. The introductory meetings were helpful for the project to identify the potential community members who can lead community in the social audit processes. Many meetings were carried out with concerned communities for carrying out the social audit process. The selected communities are as;

| S No | District  | Union Council      | Village                                   |
|------|-----------|--------------------|---|
| 1    |           | Shaidu             | Hajiabad                                  |
| 2    | Nowshera  | Mira Akora Khattak | Ismail Khel                               |
| 3    | Nowsnera  | Mira Akora Khattak | Wattar                                    |
| 4    |           | Rashakai           | Rashakai (Pattak Par)                     |
| 5    |           | Nahaqi             | Shakarpura, Gul Bahar                     |
| 6    |           | Panam Dheri        | Sara Sang/Mohalla Miangaan/Garhi Chandhan |
| 7    |           | Gul Bahar          | Jan town, Sajjad Street                   |
| 8    | Peshawar  | Garhi Sherdad      | Mohalla Seidaan                           |
| 9    | Pesnawar  | Mera Surizai       | Masterano Kalay                           |
| 10   |           | Mathra             | Darmangi                                  |
| 11   |           | Budhni             | Bela Niko Khan                            |
| 12   |           | Bahadur Kalay      | Garhi Atta Mohammad                       |
| 13   |           | Hisara Yasinzai    | Mirakhel                                  |
| 14   |           | Hisara Yasinzai    | Plahderai                                 |
| 15   |           | Utmanzai           | Pareech Khel                              |
| 16   |           | Rajjar             | Amirabad                                  |
| 17   | Charsadda | Hisara Yasinzai    | Gulshanabad                               |
| 18   |           | Charsadda 1        | Paindakhel                                |
| 19   |           | Rajjar 1           | Garikakakhel                              |
| 20   |           | Utmanzai           | Shekhano Daag                             |
| 21   |           | Turangzai          | Khat korona                               |
| 22   | _         | Manga              | Muslim Abad                               |
| 23   | Mardan    | Ghaladher          | Sur Pul                                   |
| 24   |           | Ghaladher          | Bagu Banda                                |

| S No | District | Union Council | Village    |
|------|----------|---------------|------------|
| 25   |          | Topi East     | Moosa khel |
| 26   |          | Topi West     | Parkhe     |
| 27   |          | Maini         | Gulbahar   |
| 28   |          | Maini         | Naiki Khel |
| 29   | Swabi    | Topi          | Kandare    |
| 30   |          | Batakarra     | Ali Zai    |
| 31   |          | Batakarra     | Kanazai    |
| 32   |          | Batakarra     | Pontia     |
| 33   |          | Maini 2       | Sogande    |

#### Step-II:

## **Social Audit Scope**

In second stage, the scope of the social audit was defined with communities. Social audit process has a wider scope and cannot be restricted, it deviates with scheme to scheme, identified indicators can be different as in one scheme people are more interested to know the infrastructural audit while in some cases the focus may be on social indicators i.e. the distribution list of medicines by a BHU etc.

Different development schemes/projects, from Peshawar, Mardan, Nowshera, Swabi and Charsadda districts were selected through participatory process for social audit. These projects are focused on health, education, and water and sanitation sectors.

#### Step-III

#### **Community Awareness and Training on Social Audit**

Citizens can hold the service providers accountable when they have knowledge on their rights. As a first step, citizens should be aware that getting basic services are their rights. Similarly citizens have less knowledge on the indirect taxes they pay. It is important to aware the citizens on their money they pay to government in the form of taxes and how government utilize their money.

Public service delivery should be the prime focus of the government. Community in selected districts were briefed on the mechanism of working of the government including the key responsibilities, access to public services, levels of the government hierarchy i.e. federal, provincial, district, tehsil, village etc.

During the initial community meetings it was observed that community members have little or no understanding of Planning Commission (PC) documents. These documents spell out the entire detail of public projects from objectives of the projects to fund allocation and implementation activity details. Therefore an understanding of such documents becomes vital for social audits of public service delivery projects. Furthermore lack of understanding about public procurement was also noted during the initial meetings with the community. Thus, it was decided to build the capacity of community by training citizens on planning commission documents (PC) and public procurement along with RTI Law 2013.

Apart from identifying the knowledge gaps, these initial community meetings proved fruitful in identifying activists who would conduct and introduce the concept and objectives of social audit. Similarly the social mapping exercise helped out in identifying the schemes to be considered under social audit process.

A total number of 145 awareness sessions were carried out in the project area in which, a total number of 2,443 community members of which 1,980 men and 463 women were engaged. Awareness sessions were conducted at different levels of social audit process. At the start of the project the sessions were conducted to brief the community on the concept of social audit, constitutional rights, taxation, development budget etc. At the mid of the project the awareness sessions were conducted to analyze the obtained information of the schemes while at the end of the project awareness sessions were conducted to conclude the social audit process by generating the public demands. The details of the session are as;

| S No    | District      | N   | No of Sessions |       | No of Participants |        |       |
|---------|---------------|-----|----------------|-------|--------------------|--------|-------|
| 3 140   | 3 NO DISTRICT |     | Female         | Total | Male               | Female | Total |
| 1       | Nowshera      | 20  | 5              | 25    | 339                | 81     | 420   |
| 2       | Charsadda     | 17  | 5              | 22    | 302                | 78     | 380   |
| 3       | Swabi         | 22  | 5              | 27    | 388                | 100    | 488   |
| 4       | Peshawar      | 30  | 6              | 36    | 422                | 97     | 519   |
| 5       | Mardan        | 29  | 6              | 35    | 529                | 107    | 636   |
| G Total |               | 118 | 27             | 145   | 1980               | 463    | 2443  |

#### **Social Mapping**

A detailed map of the targeted village was tracked in the trainings including different levels of service provisions i.e. federal and provincial schemes showing the estimated scheme costs. A detailed overview of the prioritized needs was focused through social mapping. The exercise gave an overview of the public service delivery in the targeted area. After analyzing the social mapping different schemes were selected for social audit process in health, education and

water and sanitation sectors with the concern of overall community. The selected schemes for the five districts are as;

#### **Education**

| District  | Schemes   |  |
|-----------|---|--|
| Charsadda | 1. Govt. Primary School for boys Mirakhel Sholgara UC Hisara Yasinzai   |  |
| Mardan    | 2. Government Girls Primary School (Sur Pul) Ghaladher                  |  |
| Nowshera  | 3. Government Higher Secondary School Rashakai, (Boys & Girls) Rashakai |  |
| Peshawar  | 4. Government Girls Primary School, Sara Sang, UC Panam Dheri           |  |
|           | 5.Government Girls Primary School, Mastarano Kalay, UC Mera Surizai     |  |
| Swabi     | 6.Government Primary/middle School Maini                                |  |

#### Health

| District  | Schemes                                |  |
|-----------|--|--|
| Charsadda | 1. BHU Utmanzai UC Utmanzai            |  |
| Mardan    | 2. BHU, Bagu Banda of UC Ghaladher     |  |
| Nowshera  | 3. BHU, Rashakai                       |  |
| Peshawar  | 4. Nahaqi Emergency Satellite Hospital |  |
| Swabi     | 5. BHU, Batakarra                      |  |

#### Water & Sanitation

| District  | Schemes  |  |  |
|-----------|--|--|--|
| Charsadda | 1. Water Supply Scheme Utmanzai Shekhano kale UC Utmanzai          |  |  |
| Mardan    | 2. WSS Muslimabad  |  |  |
| Nowshera  | 3. Water Supply Scheme of Haji Abad Union council Shaidu           |  |  |
|           | 4. Water supply Scheme of Ayub Abad Mira Akora Khattak             |  |  |
| Peshawar  | . 5. Tube well, Shakarpura, Gul Bahar, UC Nahaqi Peshawar          |  |  |
|           | 6. Water pipelines, Jan town, Ijaz Abad #02, UC Gul Bahar, Peshawa |  |  |
|           | 7. Tube well, Sara Sang, Panam Dheri, Peshawar                     |  |  |
| Swabi     | . 8. WSS Mosa Khel Topi East                                       |  |  |
|           | . 9. WSS Parkhe Topi West  |  |  |

# **Understanding of development project implementation**

It was very important to seek the implementation process of development schemes. The communities were trained on the planning documents that are the part of the development projects. The main documents that were discussed during the training courses were as;

#### 1. Planning Commission (PC) 1

District Department receives provincial Directives under which directions are given about the projects that will be identified by different MPAs. District Departments hold a number of meetings with the elected representatives for the identification of schemes. In the case of construction of a new school after the identification of elected representative (provision of land), District Education planning and development section carries feasibility report in which following indicators are considered.

- Proposed enrollment
- Distance from the other school, etc.

On the other hand, C&W department is responsible for the cost estimation of the scheme (school etc.) as a part of the PC1 and prepares Detailed Cost Estimates (DCE).

The feasibility report is discussed with District Education office and Education Provincial Secretariat and if found feasible it is approved.

#### 2. Planning Commission (PC) 2

PC2 is normally done before PC1, and contains the following information

- Objective of the identified site
- Population spread
- Literacy rate (in case of education)

If the listed indicators are rationale to the policy objectives, PC2 is approved.

#### 3. Planning Commission (PC) 3

After the approval of a scheme, the funds are released to the scheme dependent upon the nature. Monitoring process of the schemes starts with PC3. Regular monitoring visits are made to the scheme by the concerned and C&W Department. Funds are released on the submission of monitoring reports. Normally monitoring reports are submitted on quarterly bases but depending upon the nature of the development scheme.

## 4. Planning Commission (PC) 4

PC4 contains the final completion report of the development scheme. PC4 is issued by concerned department, C&W Department and Finance Department. PC4 is the final completion report submitted for the final release of funds to the contractors and handling over of the scheme to the concerned department.

#### 5. Planning Commission (PC) 5

PC5 is an important phase of a scheme which contains post evaluation after the completion of a scheme. Normally it should be done 3-5 years after the completion of a scheme but unfortunately this exercise is never been in practice, even the post evaluation of big projects are never done.

#### Administrative Approvals and implementation

The community was also trained on how the development projects undergo administrative approvals and implementation stages. They were briefed on Account I and Account IV as Account I is charged for the district schemes under the approval of Deputy Commissioner. Similarly Account IV may have different tiers but it often deals with provincial schemes under the approval of Chief Minister. Once the scheme is approved, the executing agency starts the tendering process. Here comes the KP Pubic Procurement Authority which make sure that the procurement is done in transparent and accountable manner. After awarding of tender the work order is issued by the executing agency. Funds are demanded and after the release of the funds, the physical work starts. The funds are released on the progress reports which are also called PC3.

#### **Using KP Right to Information Act, 2013**

KP government has embarked on good governance legislative framework. Right to Information (RTI) Act 2013, Local Government Act (LGA) 2013, and Right to Public Services Act 2014 have already been enacted.

These laws have great potential to improve public services delivery, and empower women and excluded groups. However, laws are as good as their implementation. These laws can only benefit citizens if are utilized for ensuring transplant and accountable public service delivery and attainment of basic rights. These laws can't make improvement in the public service delivery until they are brought into social discourse and are used by citizens for holding their public service providers and elected representatives accountable.

The communities to gather the information of the selected schemes used KP RTI law. This was the most important exercise of the social audit process. The information's gathered were than analyzed by the communities. Following social and technical indicators were shared with the community (in all 05 districts). The reason behind sharing these indicators was to make the community understand the basis of performance evaluation of the selected social sector schemes and also to guide them appropriately in terms of the information requests. With the help of these indicators community was able to easily understand the nature of information required so that they could socially audit the selected schemes.

# **Education:**

| S. # | Social Indicators                               | Technical Indicators                |
|------|---|-------------------------------------|
| 1.   | Number of enrolled children's                   | Allocation of PTC funds             |
| 2.   | Number of sanctioned posts                      | Details of utilized PTC funds       |
| 3.   | Number of employees                             | Details of PTC bank account         |
| 4.   | Detail of employees                             | Detail of the salaries of the staff |
| 5.   | Status of building (rented/owned)               | Teachers transfer policy            |
| 6.   | Copy of paid electricity/telephone/gas/water    | Tendering process                   |
|      | utility bills                                   |                                     |
| 7.   | Number of Vehicle                               | PC1                                 |
| 8.   | PTC membership                                  | PC2                                 |
| 9.   | Results of the school                           | PC3                                 |
| 10.  | Estimated population of the village             | PC4                                 |
| 11.  | Literacy rate of the village (concerned school) | PC5                                 |
| 12.  | Estimated distance from the other school        | Detailed cost estimate (DCE) of the |
|      |   | school                              |
| 13.  | Teachers attendance                             | Copy of original bills of payment   |
| 14.  | Advertisement record of the posts               | Copy of Cheques issued              |
| 15.  | Hiring process                                  | Annual Salary and Non salary budget |
|      |   | сору                                |
| 16.  | Students attendance                             |                                     |

# Health:

| S. # | Social Indicators                             | Technical Indicators                 |  |  |  |
|------|---|--------------------------------------|--|--|--|
| 1.   | Number of sanctioned posts                    | Hiring Process (for sanctioned post) |  |  |  |
| 2.   | Status of building (rented/owned)             | Record of Equipment's                |  |  |  |
| 3.   | Copy of paid                                  | Repair and Maintenance record of     |  |  |  |
|      | electricity/telephone/gas/water utility bills | vehicles                             |  |  |  |
| 4.   | Number of Vehicle/Ambulances                  | Repair and Maintenance record of the |  |  |  |
|      |   | equipment                            |  |  |  |
| 5.   | Log book of Vehicles                          | PC1                                  |  |  |  |
| 6.   | Record of medical tests                       | PC2                                  |  |  |  |
| 7.   | Number of employees with designation          | PC3                                  |  |  |  |
| 8.   | Detail of employees                           | PC4                                  |  |  |  |
| 9.   | Estimated population of the village           | PC5                                  |  |  |  |

| S. # | Social Indicators                        | Technical Indicators                |
|------|--|-------------------------------------|
| 10.  | Estimated distance from the other health | Detailed cost estimate (DCE) of the |
|      | unit                                     | building if constructed             |
| 11.  | Employees attendance                     | Copy of original bills of payment   |
| 12.  | Advertisement record of the posts        | Copy of Cheques issued              |
| 13.  | Record of stock medicine                 | Annual Salary and Non salary budget |
|      |  | сору                                |
| 14.  | Details of medicine distribution record  | Record of Tendering process         |
| 15.  | Number of Patients (month wise etc)      |                                     |
| 16.  | Details of stock vaccines                |                                     |
| 17.  | Record of vaccine distribution           |                                     |
| 18.  | Status of equipment                      |                                     |

## **Water and Sanitation:**

| S.  | Social Indicators                             | Technical Indicators                |
|-----|---|-------------------------------------|
| #   |   |                                     |
| 1.  | Number of sanctioned posts                    | Record of Equipment's               |
| 2.  | Status of building (rented/owned)             | Repair and Maintenance record of    |
|     |   | vehicles                            |
| 3.  | Copy of paid                                  | PC1                                 |
|     | electricity/telephone/gas/water utility bills |                                     |
| 4.  | Number of Vehicle                             | PC2                                 |
| 5.  | Log book of Vehicles                          | PC3                                 |
| 6.  | Number of employees with designation          | PC4                                 |
| 7.  | Detail of employees                           | PC5                                 |
| 8.  | Employees attendance                          | Copy of original bills of payment   |
| 9.  | Advertisement record of the posts             | Copy of Cheques issued              |
| 10. | Status of equipment                           | Annual salary and non-salary budget |
|     |   | сору                                |
| 11. | Total number of schemes                       | Record of tendering process         |
| 12. | List of functional and non-functional         |                                     |
|     | schemes                                       |                                     |

The communities requested 108 information requests under the process out of which unfortunately only 21 requests were directly responded by the departments in the five districts while 56 complaints could only get 30 responses after filling complaint to information commission Khyber Pakhtunkhwa. The redressal of information requests was not time bound as specified in the KP RTI act. In most of the requests above mentioned indictors relating to a

scheme were requested by the communities. Departments were more interested in repair and maintenance and functionalization of a scheme rather to respond to the requested information's. A brief table of information requests and responses is as;

| Information                                | Districts |           |       |          | Total  |       |
|--|-----------|-----------|-------|----------|--------|-------|
| information                                | Nowshera  | Charsadda | Swabi | Peshawar | Mardan | Total |
| RTI Requests                               | 20        | 14        | 25    | 23       | 26     | 108   |
| RTI Request<br>Notices                     | -         | 00        | -     | 4        | 7      | 11    |
| RTI Responses<br>(Information<br>received) | 8         | 00        | 3     | 4        | 6      | 21    |
| Complaints                                 | 4         | 11        | 13    | 15       | 13     | 56    |
| Complaints Response (Notices)              | 4         | 11        | 13    | 9        | 15     | 52    |
| Commission<br>Summons                      | -         | 00        | 03    | 1        | 0      | 4     |
| Commission summon proceeding copy          | -         | 00        | 03    | 2        | 0      | 5     |
| Complaints Response (Information Received) | -         | 06        | 07    | 3        | 9      | 25    |
| Inspection of Documents                    | 1         | 00        | 04    | 0        | 0      | 5     |

However communities keep on putting the information requests and held their meetings to discuss the status of social audit process at every scheme.

#### Step IV

#### **Data collection and Analysis:**

Another significant step of social audit process was to analyze the obtained information of the selected schemes, the gathered data was never complete and comprehensive as most of the information requests were not timely and completely responded. In some cases informal ways were used to get the relevant data. A scheme by scheme analysis was done at each district. The information was analyzed in two stages. At first stage the procurement documents were thoroughly analyzed for any embezzlement or misappropriation, and whether the procedures were followed as per the spirit of rules and regulations. In second stage, the specification

mentions in the tender documents/procurement notices were compared with the physical delivery/structures of the development schemes.

It was not an easy task to analyze the technical indicators of various schemes for which community engaged the technical experts of the various sectors. The analyses mostly contained the following information;

- Planning commission documents
- Tendering process
- Contraction process
- Equipment specification vs installed equipment
- Material specified in the planning documents vs used material in the scheme
- Allocation vs Expenditures
- Staff appointments and benefits
- Reasons for dysfunctionality
- Ftc

The complete analysis findings were recorded to present it in public hearings with the line departments, local representatives, MPAs, media and other stakeholders.

#### Step V

#### **Public Disclosure**

It is the last stage of social audit process in which public demands were generated. The complete analysis of information analysis meetings were shared with different stakeholders i.e. MPAs, line departments, district administration, local government representatives, media and civil society via public hearing. Each scheme was discussed in detail on which the department gave their feedback and discussed the various reasons for dysfunctional schemes. A brief overview of the scheme by scheme analysis is as;

| The Scheme   | The process  | The Output               |
|--------------|--|--------------------------|
|              | District Peshawar  |                          |
|              | The WSS (Water pipelines) Jan town UC Gul                                  | The WSSP provided the    |
|              | Bahar was constructed in 1994 and remained                                 | desired information i.e. |
|              | rusted and ineffective. Community under 'Social                            | PC-1 (Rs. 40 million) of |
|              | Audit' process submitted an RTI application                                | the three newly under    |
|              | request on April 02, 2015 to the Water and                                 | construction tube wells  |
|              | Sanitation Services Peshawar (WSSP) &                                      | along with streets       |
|              | Municipal Corporation-Peshawar (MCP) to know                               | pavements at the UC Gul  |
|              | about the repairing and replacement of their                               | Bahar Peshawar. The      |
|              | water pipelines. The information was not                                   | community formed a       |
|              | provided after which community launched                                    | committee to monitor     |
| Water and    | Complaint to the RTI Commission on May 20,                                 | the new construction of  |
| sanitation   | 2015. KP RTI Commission issued summon to the                               | the tube wells and this  |
| scheme Jan   | WSSP & MCP for the provision of information.                               | will be done till the    |
| town, UC Gul | On May 22, 2015 the WSSP replaced some of                                  | completion of the tube   |
| Bahar,       | the water pipelines but did not provide the                                | wells.                   |
| Peshawar     | requested information. KP RTI Commission                                   |                          |
|              | issued further notices on June 26 <sup>th</sup> , July 2 <sup>nd</sup> and |                          |
|              | 6 <sup>th</sup> , 2015 respectively for the provision of                   |                          |
|              | information. On July 24, 2015 the MCP                                      |                          |
|              | responded to RTI Commission that the water                                 |                          |
|              | supply and sanitation services/tasks are assigned                          |                          |
|              | to the WSSP. On August 12, 2015 the WSSP                                   |                          |
|              | committed to the RTI Commission for the                                    |                          |
|              | provision of information and the repairing of                              |                          |
|              | water pipelines after completion of new tube                               |                          |
|              | well.  |                          |

| The Scheme   | The process  | The Output                        |
|--------------|--|-----------------------------------|
|              | The WSS (tube well) Shakarpura, Gul Bahar, UC      | The PHED staff                    |
|              | Nahaqi has remained dysfunctional since its        | conducted a meeting               |
|              | construction in the year 1994. Community under     | with the same                     |
|              | social audit process gathered different data       | community on August               |
|              | regarding the scheme and after getting the         | 26 <sup>th</sup> , 2015 to ensure |
|              | relevant information, it was analyzed. During the  | them to functionalize             |
|              | data collection process PHED contacted CGPA        | their tube well. They also        |
| Water supply | staff and asked to hold a meeting. On August 20,   | requested the                     |
| scheme       | 2015 a formal meeting was conducted in the         | community to avoid                |
| Shakarpura,  | office of the PHED in order to streamline all      | further RTI requests and          |
| UC Nahaqi,   | dysfunctional schemes highlighted under social     | assured that PHED                 |
| Peshawar     | audit process in District Peshawar.                | Peshawar will                     |
|              |  | functionalize their               |
|              |  | scheme. On December               |
|              |  | 31, 2015 the PHED                 |
|              |  | Peshawar provided the             |
|              |  | desired information i.e.          |
|              |  | PC-1 (Rs. 8.51 million) to        |
|              |  | the community.                    |
|              | The WSS (tube well) of Mohalla Sara Sang, UC       | With the frequent follow          |
|              | Panam Dheri was constructed in year 2010 but       | up and social audit               |
|              | remained dysfunctional. Community filed many       | process, operator was             |
|              | RTI requests and launched complaints to get the    | appointed however the             |
|              | information including PC1, PC2, electricity bills, | scheme is still                   |
| Water supply | repair and maintenance budget, staff list etc.     | dysfunctional due to the          |
| scheme Sara  | The scheme was dysfunctional due to the non        | damaged pipeline.                 |
| Sang UC      | availability of operator for the said scheme.      | Community took the                |
| Panam Dheri, |  | matter to the local               |
| Peshawar     |  | government                        |
|              |  | representatives via public        |
|              |  | hearing in which the              |
|              |  | scheme was included in            |
|              |  | the priority projects of          |
|              |  | the local government.             |

| The Scheme        | The process   | The Output               |  |
|-------------------|---|--------------------------|--|
| Nahaqi            | The Nahaqi Emergency Satellite Hospital (NESH),     | The hospital             |  |
| Emergency         | UC Nahaqi lacks facilities and provided             | administration did not   |  |
| Satellite         | inadequate services. Medicines were not             | provided the information |  |
| Hospital          | provided to the patients and X-Ray was referred     | but started distributing |  |
| (NESH), UC        | to private laboratories. Community after            | the medicines and has    |  |
| Nahaqi,           | initiating the social audit process filled          | started conducting X-    |  |
| Peshawar          | information requests to get the distribution        | Rays in the hospital.    |  |
| 1 CSHAWAI         | details of medicine and reason for referring X-     |                          |  |
|                   | Rays to the private laboratories.                   |                          |  |
|                   | The Government Girls Primary School, Mohalla        | Education department     |  |
|                   | Sara Sang established in the year 1982 was          | took notice and the      |  |
| Government        | closed in year 2010 due to the non-availability of  | appointed teacher        |  |
| Girls Primary     | teachers however the education department           | started to come to the   |  |
| School,           | responses on the community RTI requests under       | school. The Education    |  |
| Mohalla Sara      | social audit process revealed that one teacher is   | department also assured  |  |
| Sang, UC          | appointed for the school and is taking salary and   | the community that the   |  |
| Panam Dheri,      | other benefits while one teacher post is vacant.    | second teacher will be   |  |
| Peshawar          | The community shared the details with the           | appointed as soon as     |  |
|                   | media where it was highlighted that the school      | possible.                |  |
|                   | is closed and a teacher is getting all the benefits |                          |  |
|                   | without attending the school.                       |                          |  |
|                   | The Government Girls Primary School,                | Education department     |  |
|                   | Masterano Kalay has been established in the         | telephonically responded |  |
| Government        | year 1995 but was closed in year 2006 due to        | that the school is in    |  |
| Girls Primary     | the lack of teachers however the school strength    | remote area and          |  |
| School,           | was more than 300 students. After initiating        | teachers transfer        |  |
| Masterano         | social audit process community filled               | themselves from the      |  |
| Kalay, UC         | information requests to attain reason for non-      | school through political |  |
| Mera Surizai,     | availability of teachers and close of school since  | approach, however with   |  |
| District          | year 2006. The issue was also highlighted in        | new policy, education    |  |
| Peshawar          | media.  | department will appoint  |  |
|                   |   | the local teachers which |  |
|                   |   | will resolve the issue.  |  |
| District Nowshera |   |                          |  |
| Water supply      | The Water supply scheme was constructed in          | PHED started the         |  |
| scheme haji       | Village Haji Abad, UC Shaidu in 1988 but            | rehabilitation work in   |  |

| The Scheme     | The process   | The Output                                 |
|----------------|---|--|
| Abad, UC       | remained dysfunctional from year 2003 onward.       | which replacement of old                   |
| Shaidu,        | Community under social audit process obtained       | pipe line, rehabilitation                  |
| Nowshera       | the relevant data i.e. PC1, PC2, staff, budget etc. | of water tank,                             |
|                | and after analyzing the data pressurised the        | construction of water                      |
|                | public health and engineering department to         | bore is under way.                         |
|                | functionalize the scheme.                           | Community has also                         |
|                |   | obtained the                               |
|                |   | rehabilitation planning                    |
|                |   | documents of the                           |
|                |   | scheme and is                              |
|                |   | monitoring the work                        |
|                |   | under progress.                            |
|                | Water supply scheme Ayub abad was completed         | After a series of meeting                  |
|                | in year 2010. It was a large scheme including       | with the department the                    |
|                | water tank. The scheme provided direct water        | water tank was                             |
|                | through tube well to the community while the        | rehabilitated but was not                  |
|                | water tank was not used for the provision of        | functionalized due to the                  |
| Water supply   | water. Community under social audit process         | non availability of staff to               |
| scheme, Ayub   | obtained the relevant information's to know the     | operate the tank.                          |
| Abad, UC       | reasons for the dysfunctional water tank. It was    | Department told the                        |
| Mera Akora     | revealed in the information analyses meeting        | community that the issue                   |
| Khattak,       | that the water tank was constructed at the cost     | will be resolved by the                    |
| Nowshera       | of Rs. 4.2 million. Community also held several     | concerned MPA for the                      |
|                | meetings with PHED to discuss the status of         | appointment of the                         |
|                | water tank as due to the severe load shedding of    | water tank operator on                     |
|                | electricity, the direct pumping form the tube       | which community met with the concerned MPA |
|                | well does not provide enough water to the           | who has ensured to                         |
|                | community.  | appoint the water tank                     |
|                |   | operator.                                  |
|                | BHU Rashakai is an over attended health unit in     | After several meetings                     |
|                | which the flow of patients is very high. Free       | with the Medical officer                   |
| Basic health   | medicine is inadequate to be distributed among      | and PPHI department                        |
| Unit Rashakai, | the patients. Community under social audit          | officials it was decided                   |
| Nowshera       | process requested the policy document for the       | that PPHI will put the                     |
|                | distribution of medicine and obtained the           | Rashakai BHU on top                        |
|                | medicine record through which it came into          | priority in case of                        |
|                | medicine record throught wither it came into        | priority in case of                        |

| The Scheme           | The process  | The Output                |
|----------------------|--|---------------------------|
|                      | notice that a BHU can be allocated medicine        | excessive medicines in    |
|                      | amounting 1 lac rupees however the policy          | other BHUs.               |
|                      | document revealed that in case of deficiency,      |                           |
|                      | the medical officer can demand for more            |                           |
|                      | medicine from PPHI and if PPHI have excessive      |                           |
|                      | medicine in other BHUs it can be provided to the   |                           |
|                      | deficient BHUs.                                    |                           |
|                      | There are many missing facilities in GHSS          | The enquiry is under way. |
|                      | Rashakai i.e. safe drinking water, proper          | Community is in           |
| Covernment           | sanitation, furniture etc. Community under         | continuous follow up      |
| Government           | social audit process filed RTI requests to the     | with the department.      |
| higher               | school to get the PTC fund record. However the     |                           |
| secondary<br>school, | community took the information from the            |                           |
| Rashakai,            | district education office and it came to notice    |                           |
| Nowshera             | that school has not utilized the PTC funds from    |                           |
| Nowshera             | the last three years on which community held       |                           |
|                      | meeting with district education officer who        |                           |
|                      | noticed the complaint and assured the              |                           |
|                      | community to make enquiry of the matter.           |                           |
|                      | District Mardan                                    |                           |
|                      | The BHU Bagu Banda of UC Ghaladher                 | Practicing of RTI law and |
|                      | construction started in 1985 and was completed     | social audit process has  |
| Basic Health         | in 1990 but started providing health services in   | made the relevant         |
| unit Baghu           | 1995 but it didn't gave satisfactory services to   | department to provide     |
| Banda, UC            | the citizens. Under the 'Social Audit' process the | the medicines to the      |
| Ghaldher,            | community requested different information. The     | patients and keep the     |
| Mardan               | information requests were not responded by the     | record transparent.       |
|                      | department. The medicines were not provided        |                           |
|                      | to the patients and no medicine record was kept    |                           |
|                      | in the BHU.  |                           |
| Government           | The Government Girls Primary School (GGPS) Sur     | At last the department    |
| Girls Primary        | Pul, UC Ghaladher was constructed in 1991 with     | responded but provided    |
| School Sur Pul,      | inadequate facilities i.e. electricity, clean      | the incomplete            |
| UC Ghaladher,        | drinking water and toilet. Under social audit      | information on 19         |
| Mardan               | process the community filled a RTI request on      | October 2015. However     |
|                      | June 18, 2015 to the District Education            | practicing RTI law and    |

| The Scheme   | The process  | The Output                            |
|--------------|--|---------------------------------------|
|              | department Mardan acquiring the Information              | following social audit                |
|              | on missing facilities but was not provided by the        | process compelled the                 |
|              | department after which community launched a              | department to visit the               |
|              | complaint to the RTI Commission on July 09,              | GGPS and noted the                    |
|              | 2015. RTI Commission on 22 July, 2015 issued a           | missing facilities.                   |
|              | notice to the department to provide the                  |                                       |
|              | information to the requestor but the                     |                                       |
|              | department didn't responded. Another notice              |                                       |
|              | was issued by the RTI Commission on 04 August            |                                       |
|              | 2015 directing the department to deliver the             |                                       |
|              | requested information but again the                      |                                       |
|              | department did not responded. The community              |                                       |
|              | of Sur Pul Ghala dher put another RTI Request            |                                       |
|              | on 11 August 2015 but again no information was           |                                       |
|              | provided on which they again launched the                |                                       |
|              | complaint on September 1, 2015.                          |                                       |
|              | The Water Supply Scheme of Muslimabad Union              | After the 2 <sup>nd</sup> RTI Request |
|              | Council Manga was constructed in 1995 and                | by the community, the                 |
|              | started on trial basis for a short time. It was then     | PHED made different                   |
|              | closed and remained dysfunctional despite the            | visits to the WSS and                 |
|              | dire need of clean drinking water in the                 | assured the community                 |
|              | Community. The community put a RTI request               | that the WSS will be                  |
|              | under social process on March 20, 2015 to the            | functionalized and ask                |
| Water supply | public health and engineering department                 | the community to not file             |
| scheme       | Mardan acquiring the PCI and PCII but the                | RTI request but the                   |
| Muslimabad,  | information was not provided after which                 | community didn't agree                |
| UC Manga,    | community launched a Complaint to the RTI                | and asserted to get the               |
| Mardan       | Commission on April 21, 2015 but the RTI                 | information. The                      |
| Wardan       | Commission also didn't responded. The                    | department was                        |
|              | community awaited the information for a long             | compelled to provide the              |
|              | time but both the PHE Department and RTI                 | PCI of the WSS according              |
|              | Commission remained silent despite the phone             | to which the scheme was               |
|              | calls from the community. The community of               | constructed in 1995                   |
|              | Muslimabad filed another RTI Request on 27 <sup>th</sup> | utilizing more than two               |
|              | July 2015 to the PHED acquiring the same                 | million rupees.                       |
|              | information i.e. PCI and PCII.                           | During the social audit               |
|              |  | process a meeting was                 |

| The Scheme    | The process                                    | The Output                 |
|---------------|--|----------------------------|
|               |  | held between the           |
|               |  | technical staff of PHED    |
|               |  | and the community in       |
|               |  | which it was decided that  |
|               |  | all the necessary steps    |
|               |  | will be taken to           |
|               |  | functionalize the scheme.  |
|               |  | Moreover the               |
|               |  | department committed       |
|               |  | to provide free            |
|               |  | connections.               |
|               | District Swabi                                 |                            |
|               | The Government Girls Middle School (GGMS)      | After continuous follow    |
|               | Gulbahar, UC Maini has been constructed in     | ups the department         |
|               | 2008. Community under social audit process     | eventually responded to    |
|               | submitted an RTI application request acquiring | the community that the     |
|               | PC1, PC2 and PC3 on June 19, 2015 to the       | school was constructed     |
|               | District Education department Swabi. The       | on non- developmental      |
|               | department did not provide the information and | budget for which PC1,      |
| Government    | telephonically conveyed to the community that  | PC2 and PC3 were not       |
| girls Middle  | the department is not bound to provide         | prepared. The complete     |
| School Maini, | information to everyone. Community launched    | process of social audit    |
| Swabi         | complaint to commission on July 31, 2015 on    | was not undertaken as      |
|               | which a notice was issued to department to     | the initial planning       |
|               | provide the requested information on August    | documents were not         |
|               | 10, 2015 but even then no response came from   | prepared for the school.   |
|               | department. Community filed another RTI        |                            |
|               | request to department on July 31, 2015 but     |                            |
|               | again no response came from department and     |                            |
|               | community filed complaint to commission on     |                            |
|               | September 3, 2015.                             |                            |
| Water supply  | The WSS Parkhe, UC Topi West was constructed   | Community took that        |
| scheme        | in 2012 and remained dysfunctional. Community  | issue in public hearing in |
| Parkhe, UC    | under 'Social Audit' process submitted an RTI  | which Tehsil Nazim         |
| Topi West,    | application request on April 28, 2015 to the   | committed to               |
| Swabi         | Public Health and Engineering Department       | functionalize the scheme   |

| The Scheme   | The process  | The Output              |
|--------------|--|-------------------------|
|              | (PHED) Swabi to know the reason                    | from local government   |
|              | of dysfunctional WSS. The PHED did not             | funds.                  |
|              | provided the information on which community        |                         |
|              | launched the complaint on May 20, 2015 to RTI      |                         |
|              | commission. The commission issued notice to        |                         |
|              | PHED on May 26, 2015 but PHED did not              |                         |
|              | provided the information, another notice was       |                         |
|              | issued by RTI commission on June 18, 2015 but      |                         |
|              | again PHED did not provided. The third notice      |                         |
|              | was issued by RTI commission on June 25, 2015      |                         |
|              | to the department to provide the requested         |                         |
|              | information within three days but the              |                         |
|              | department once again did not provided the         |                         |
|              | information. At last RTI commission summoned       |                         |
|              | the XEN PHED to attend the commission. X-EN        |                         |
|              | PHED and RTI requester attended the                |                         |
|              | commission office on August 5, 2015 in which it    |                         |
|              | was decided by the commission that X-EN PHED       |                         |
|              | will visit the concerned scheme on August 12,      |                         |
|              | 2015 and will send the report to commission        |                         |
|              | on August 17, 2015. The X-EN did not visited the   |                         |
|              | site and sent report to commission that the WSS    |                         |
|              | was constructed by UNDP and it does not fall in    |                         |
|              | the jurisdiction of PHED. Commission sent that     |                         |
|              | report to the RTI requester and closed the case.   |                         |
|              | The BHU Batakarra, UC Batakarra was                | PPHI appointed doctor   |
|              | constructed and functionalized in 1987.            | and LHV, started        |
|              | Community under social audit process               | providing medicines to  |
| Basic Health | submitted an RTI application request on April      | the patients and        |
| Unit,        | 30, 2015 to the People Primary Healthcare          | arranged a free medical |
| Batakara,    | Initiatives (PPHI) Swabi to get the medicine       | camp in Batakarra after |
| Swabi        | record, equipment list, staff list, OPD record and | which also provided the |
|              | budget of BHU. The information was not             | required information to |
|              | provided after which community filed another       | the community.          |
|              | RTI request to PPHI but was again not              |                         |
|              | responded. Community kept on putting RTI           |                         |
|              | requests and launched complaints to get the        |                         |

| The Scheme  | The process  | The Output  |
|---|--|---|
|   | desired information.   |   |
|   |  |   |
|   | District Charsadda   |   |
| Government<br>primary school<br>boys Mirakhel<br>Sholgara, UC<br>Hisara<br>Yasinzai,<br>Swabi | Govt. Primary School (for boys) MiraKhel Sholgara UC Hisara Yasinzai was established in 1992. Under the social audit process, community put an RTI to know the utilization of PTC funds on May 4, 2015. Instead of getting the information community received a telephone call from the education department and asked the reason for the requested information and told the community that the department is not bound to give the information to the requester if reason is not described. Community launched the complaint to information commission on May 25, 2015. Two notices were issued to education department on May 27, and June 18, 2015 after which the department provided the information. The information was analyzed by the community in which the details of the PTC fund of the school were described. PTC chairman revealed that he didn't know any details about the utilization of the PTC funds on which a PTC meeting was arranged. The headmaster told the PTC members and community that most of the time PTC members do not participate in the meeting due to which the PTC budget utilization is not known to them however it was decided to | The PTC meetings are regularly held in which PTC, discuss various issues pertaining to the school along with the proper utilization of PTC funds. |
|   | regularly convene the PTC meetings and all the   |   |
|   | decisions will be taken on the approval of PTC.  |   |
| Basic Health  | The BHU Utmanzai UC Utmanzai started in early  | The department didn't   |
| Unit  | 90s. The medicine was rarely distributed to the  | respond on RTI requests   |
| Utmanzai, UC  | patients and attendance of medical officer was   | of the community  |
| Utmanzai,   | not satisfactory. Community under 'Social Audit'   | however after the   |
| Swabi   | process submitted different RTIs to get the  | notices of RTI  |

| The Scheme   | The process                                      | The Output                |
|--------------|--|---------------------------|
|              | medicine record, equipment list, staff list, OPD | commission the Medical    |
|              | records etc. of BHU.                             | officer was transferred   |
|              |  | from the BHU and          |
|              |  | another Medical officer   |
|              |  | was appointed. After      |
|              |  | which the medicine was    |
|              |  | adequately distributed    |
|              |  | with the proper record.   |
|              | Water supply scheme Shekhano Kale UC             | The information analyses  |
|              | Utmanzai was constructed in 2011 but never       | findings were shared in   |
|              | functionalized. Community under social audit     | detail with the           |
|              | process used RTI act to collect the relevant     | stakeholders via public   |
| Water supply | information to know the reasons for the          | hearing on which          |
| scheme       | dysfunctionality of the scheme. Instead          | department and            |
| Shekhano     | providing the information, PHED asked the        | stakeholders gave their   |
| kalay, UC    | community to not file the information requests   | responses and noted the   |
| Utmanzai,    | the department will functionalize the scheme.    | public demands. District  |
| Charsadda    |  | administration, local     |
|              |  | government                |
|              |  | representatives and       |
|              |  | MPAs gave their           |
|              |  | commitments to            |
|              |  | functionalize the scheme. |

#### **Conclusion**

A good development model is a combination of state citizen partnership. Community empowerment can be contributed with citizen's ownership to, plan, execute and monitor the development projects is integrated.

The social audit projects aim to build the capacities of community groups to account, investigate and question the service providers, policy makers and public representatives on the public provisions they are responsible for.

Social audit approach utterly depends on the flow of information. Right to information act was the primary tool used to pilot the social audit approach. In most of the cases departments were reluctant to provide the detailed information. The different steps involved in the social audit process were never completed due to the incomplete information. However the objectives of the social audit approach were contributed at early stages as in many cases the department started to negotiate with the community on the issues and problems. The community started to feel their ownership when departments were responding and started connecting them. In most of the cases it was not possible to functionalize the dysfunctional schemes due to the non-availability of budget however the schemes started to be put under the priority list of the departments.

Social audit approach was found to be a systemized process through which communities experiment a behavior change in public departments and can play a vital role in bringing an efficient and effective public service delivery mechanism. It can also be directly contributed to community empowerment, where citizens feel confident to hold their service providers and public representatives more accountable.

#### **Challenges faced**

The project came up with a lot of challenges as it was the very first time that communities accounted a government scheme with the planning documents. One can simply say that it was the social audit of planning vs execution. The challenges were already expected as the era of secrecy has dented the disclosure of public service delivery mechanism;

• The community social audit actually strikes at the fault lines in the development planning. The elected representatives in provincial assembly promise more in terms of bricks and mortars than their actual responsibility to legislate and provide executive oversight through standing committees. The political economy of development schemes dictates many executive functions by MPs. Development projects are used as bargaining tools between voters and MPs. The development schemes are approved with the consideration of voting patterns and not through the actual needs. Development

projects are approved to oblige voters. The MPs don't bother about areas from where he/she does not get votes. This goes totally against the development strategies which the provincial government harbingers at provincial level. Now mobilizing the community to hold the same MP accountable who once obliges them with a development scheme remains a challenge.

- KP government enacted KP RTI law in 2013. The law was celebrated as one of the key step in KP government good governance legislative agenda. However, the same house, which approved it, is now proving to be the main hurdle. The reason is, allocation of development funds to MPs under the constitution of Pakistan is unconstitutional. This is very much in practice in KP. That's why the KP RTI law faced its first blow when the assembly exempted itself from its purview. Now, the bureaucracy and political figures are in cahoots to block access to information which exposes direct MPs involvement in development funds. Education, health and Water and sanitation projects are the key sector where MPs are provided with development funds.
- Some of the public information officers didn't provided the relevant information rather
  tried to influence the requestor to not file further information requests and in some
  cases provided the misleading/incomplete information. When CGPA approached the
  commission, they flatly refused to take on cases of misleading information. It took some
  time for CGPA to convince the information commission to take complaints related to
  incomplete/misleading information provided by public bodies.
- Departments were more interested in the repair and maintenance, functionalization of a scheme from funds outside their budget. This also depicts that all those organisations which are providing funds to make government non-functional schemes functional are actually incentivizing corruption and mismanagement.
- In most of the cases line departments did not have any record. Even after the information commission intervention, they took the plea that the requested information is not available.
- Female participation was another big challenge as the male community members monitor mostly development schemes. However the awareness and sensitization enabled them to file RTI requests for their related issues.
- The challenge in using social audit as an accountability tool was to complete the feedback loop, from the citizen to the government line department to policy maker and back to the citizen either through the government line department or an elected representative. In the case of KP, the RTI commission bridges this to some extent and as seen in the table above, the success in a majority of cases/projects have been a result of their direct involvement.

However, the feedback loop breaks down time and again when left between the line department and the citizens, in most cases.

# **Project Outcomes**

The challenges were expected as social audit process scrutinizes the execution of development schemes in comparison to planning documents for which the service providers are often reluctant. On the other hand project achievements came big as;

- Communities started filling information requests, not only for the schemes focused under CSA but also for their other service delivery issues. It may not seem a big outcome, but CGPA takes it a positive indicator when citizens start asking about public service delivery from the public bodies. To put a question to public bodies, one need to find some issue related to public office. This defines the whole philosophy of how a citizen relates him/herself with state.
- Understanding of taxation, specifically indirect taxes boosts the confidence of citizens to
  know about entitlements and demand them accordingly. This was the part where
  communities took deep interest in understanding how state generate revenue to
  support its functions and locate themselves as rights holders and duty bearers. They
  also help start negotiating with public bodies in terms of demanding their rights and not
  as favor. The departments may not have yielded to improve services immediately,
  however they feel the accountability mechanism through which citizens can question
  them.
- Step by step social audit process was learnt by the communities which would enable them for future social audit of developmental schemes.
- Women equally participated in the project and also filled information requests under the social audit process.
- Initialization of a developmental scheme was learnt by the communities which included budget allocation, planning commission documents (PCs), tendering, contracting, billing and execution. Communities also seek the administrative approvals in the implementation of a development project which may be used to access the relevant information under social audit process.
- The findings of each scheme was shared publically via public hearings and public demands were generated to relevant stakeholders including MPAs, local government representatives, line departments, media and civil society.
- Documentary developed under the project will promote the social audit concept in other areas and will help the citizens to understand the social audit process.
- The deep sense of community empowerment through the social audit process is very important outcome of the project. The community felt the acute sense of

empowerment when the department approached to make their dysfunctional scheme functional.

- The political economy of the development projects in Pakistan dictates that development work can't be carried out without voicing through powerful MPAs or Local Government representatives. The social audit processes challenges this set frame of development work. Now the MPAs and Local Government representatives are held accountable for their development work.
- The community audit process also generated debate on allocation development/constituency development funds to legislators. Overall, the project led to civic awareness of communities. However, the scope of such civic awareness needs to be expanded.

#### Recommendations

The recommendations for further implementation of social audit approach are as;

- Public money is wasted when there is not enough need of a project in the area and schemes are initiated for political scoring due to the reason mentioned in the challenges part. It is necessary to link the allocation of resources with the essential needs of the citizens which can only be possible if bottom up approach is adopted at policy level where needs are prioritized from the grass root level.
- A policy framework is required to link the infrastructural activities by the different departments. In most of the cases in water supply schemes, the distribution line was damaged by other infrastructural structures. i.e. sui gas, street pavements etc.
- The definition of functional and dysfunctional scheme in water and sanitation sector is required to be cleared as in most of the cases where pumping machine is in working condition is marked functional while the distribution line is damaged and citizens are not getting the clean drinking water.
- Capacities of line departments need to be developed to proactively disclose the relevant data. In most of the cases department mislead the requestor by not providing the desired data.
- Most of the schemes were found dysfunctional due to the political patronage of the landowners with influential political figures.
- There is a dire need of awareness on legislative laws i.e. Right to Information law, Right to services act etc. Awareness and capacity building on these laws can help in implementing the social audit process at broader level.
- Capacities of local government representatives need to be developed on the transparency laws which can help them in resolving the issues of the public they represent.
- The process needs time and comparatively larger scope to get more concrete results.
   The social audit can be more effective if focus is on UC or Tehsil level, and not on few development schemes. The community can then prioritize their development schemes for audit.

# Annexure – I: Sample RTI Request

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# **Annexure – II: Media Coverages**

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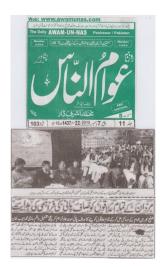
















# **Annexure – III: Pictures Gallery**



Community meeting held in District Mardan under CSA



Information Analysis meeting held in District Nowshera



Initial community meeting held in District Swabi



Participant of public hearing sharing his views with the line departments and local representatives in District Mardan



Participants of social audit training practicing RTI request in District Peshawar



District Nazim Charsadda, SDO PHED, DDHO and local govt representative's chairing the public hearing held at district Charsadda



Participants of social audit training held in district Charsadda



Participants of female social audit training held in District Nowshera



Participants of social audit training held in district Charsadda



Awareness session with female community in district Swabi



Awareness session with female community in district Charsadda



Awareness session with male community in district Peshawar



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